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To: United States Patent and Trademark Office

Fax #: 703-872-9306

From: Michael A. Baffa, Esq.

Date: March 18, 2004

Pages: 28 (including cover sheet)

**Message:**

Please see document(s) attached herewith.

**IDS for:**

Docket No. 060.024601

Serial No. 10/677,923

Filing Date: October 1, 2003

Group Art Unit: 2871

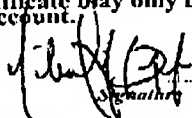
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<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 060.024601
In Re Application Of: Pond et al.			
Serial No. 10/677,923	Filing Date October 1, 2003	Examiner N/A	Group Art Unit 2871
Title: LIGHT EMITTING DIODE HEADLAMP AND HEADLAMP ASSEMBLY			
Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450			
<b>37 CFR 1.97(b)</b>			
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.			
<b>37 CFR 1.97(c)</b>			
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:			
<input type="checkbox"/> the statement specified in 37 CFR 1.97(c);			
OR			
<input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).			

P10A/R1LV02

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 060.024601
In Re Application: Pond et al.			
Serial No. 10/677,923	Filing Date October 1, 2003	Examiner N/A	Group Art Unit 2871
<b>LIGHT EMITTING DIODE HEADLAMP AND HEADLAMP ASSEMBLY</b>			
<b>Payment of Fee</b> (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))			
<input type="checkbox"/> A check in the amount of _____ is attached.			
<input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. 50-1021 as described below.			
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<input checked="" type="checkbox"/> Charge any additional fee required.			
<b>Certificate of Transmission by Facsimile*</b>		<b>Certificate of Mailing by First Class Mail</b>	
<div style="border: 1px solid black; padding: 5px;"><p>I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:</p><p>_____ (Date)</p><p>_____ Signature</p><p>_____ Typed or Printed Name of Person Signing Certificate</p></div>		<div style="border: 1px solid black; padding: 5px;"><p>I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.</p><p>_____ Signature of Person Mailing Correspondence</p><p>_____ Typed or Printed Name of Person Mailing Certificate</p></div>	
<p>*This certificate may only be used if paying by deposit account.</p> <div style="display: flex; justify-content: space-between; align-items: flex-end;"><div style="text-align: center;"> _____ Signature</div><div style="text-align: right;">Dated: 18 Mar 04</div></div>			
<p>Michael A. Baffa, Esq. USPTO Reg. No. 42,279 THE BILICKI LAW FIRM, P.C. Furniture Mart Building, Suite 1000 111 West Second Street Jamestown, NY 14701</p>			
CC:			

P10ANFV02

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				Docket Number (Optional)		Application Number	
				060.024601		10/677.923	
				Applicant(s) Pond et al.			
Filing Date				10/01/2003		Group Art Unit	
						2871	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		2001/019486	9/6/2001	Thominet	362	543	2/27/2001
		4,733,335	3/22/1988	Serizawa et al.	362	80	12/23/1985
FOREIGN PATENT DOCUMENTS							
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation YES NO
		DE 201 15 801 U1	3/7/2002	Germany	B60Q	1/20	
		DE 101 60 052 A1	6/18/2003	Germany	F21S	8/10	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
EXAMINER				DATE CONSIDERED			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

## PATENT COOPERATION TREATY

## PCT

## INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference 060.024602	<b>FOR FURTHER ACTION</b> see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below.	
International application No. PCT/US 03/31117	International filing date (day/month/year) 01/10/2003	(Earliest) Priority Date (day/month/year) 01/10/2002
Applicant TRUCK-LITE CO., INC.		

This International Search Report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This International Search Report consists of a total of 4 sheets.

☒ It is also accompanied by a copy of each prior art document cited in this report.

## 1. Basis of the report

- a. With regard to the language, the international search was carried out on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.

☐ the international search was carried out on the basis of a translation of the international application furnished to this Authority (Rule 23.1(b)).

- b. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international search was carried out on the basis of the sequence listing:

☐ contained in the international application in written form.

☐ filed together with the international application in computer readable form.

☐ furnished subsequently to this Authority in written form.

☐ furnished subsequently to this Authority in computer readable form.

☐ the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.

☐ the statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

2. ☐ Certain claims were found unsearchable (See Box I).

3. ☐ Unity of invention is lacking (see Box II).

## 4. With regard to the title,

☒ the text is approved as submitted by the applicant.

☐ the text has been established by this Authority to read as follows:

## 5. With regard to the abstract,

☒ the text is approved as submitted by the applicant.

☐ the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.

## 6. The figure of the drawings to be published with the abstract is Figure No.

☒ as suggested by the applicant.

☐ because the applicant failed to suggest a figure.

3

☐ None of the figures.

## INTERNATIONAL SEARCH REPORT

International Application No.

PCT/US 03/31117

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 7 F21S8/12

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 F21S F21V

Documentation searched other than minimum documentation to the extent that such documents are included in the lists searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	DE 201 15 801 U (MERLAKU KASTRIOT) 7 March 2002 (2002-03-07)	1-3, 5, 6, 31, 37, 40, 44-48, 62, 66-68
Y	the whole document	4, 18, 19, 22, 51 69-72
A		
Y	US 2001/019486 A1 (THOMINET VINCENT) 6 September 2001 (2001-09-06) paragraphs '0017!', '0018!', '0022! paragraphs '0026!', '0029!', '0030! abstract figures 1, 6	4, 18, 19, 22, 51
A		1-3, 5, 69-72

-/-



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

## \* Special categories of cited documents:

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*A\* document member of the same patent family

Date of the actual completion of the international search

2 March 2004

Date of mailing of the international search report

09/03/2004

Name and mailing address of the ISA

European Patent Office, P.O. Box 16 Patentstrasse 2

Authorized officer

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 03/31117

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 4 733 335 A (SERIZAWA HIROYUKI ET AL) 22 March 1988 (1988-03-22) abstract column 3, line 48 - column 4, line 28 column 4, line 60 - column 5, line 6 figures 1,2 -----	1,47, 69-72
A	US 5 857 767 A (HOCHSTEIN PETER A) 12 January 1999 (1999-01-12) cited in the application column 4, line 11 - line 19 column 8, line 8 - line 36 figure 2 -----	1,47, 69-72
P,A	DE 101 60 052 A (HELLA KG HUECK & CO) 18 June 2003 (2003-06-18) abstract figures -----	1
A	US 6 367 949 B1 (PEDERSON JOHN C) 9 April 2002 (2002-04-09) column 11, line 24 - line 33 column 11, line 54 - line 67 column 19, line 9 - line 28 column 12, line 55 - column 13, line 21 -----	1,47, 69-72

## INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 03/31117

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
DE 20115801	U	07-03-2002	DE 20115801 U1	07-03-2002
US 2001019486	A1	06-09-2001	DE 10009782 A1	06-09-2001
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